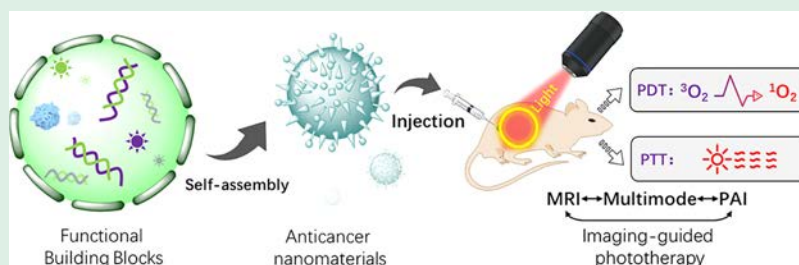


Self-Assembled Nanomaterials for Enhanced Phototherapy of Cancer

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ABSTRACT: Following the wisdom of nature to assemble functional candidates into exquisite nanoarchitectures is emerging as a promising field of research and has been widely applied in biomedical sciences. Owing to their excellent properties of structural controllability, functional diversity, dynamic adjustability, and prominent biocompatibility, the self-assembled nanoarchitectures come to play a pivotal role in fighting against cancer. This review outlines the most up-to-date developments in constructing phototherapeutic nanomaterials for photodynamic and photothermal therapy (PDT and PTT) of tumors, with emphasis on design ideas, building blocks, and advantageous characteristics of self-assembly. The prominent activities of cancer therapy obtained by these photoinduced nanotheranostics are also explored in-depth, together with the connections between the specific nanostructures and unique features, providing a comprehensive understanding of the self-assembled nanomaterials in improving the outcomes of PDT and PTT. This review aims to highlight the significance of self-assembled nanomaterials in enhancing phototherapeutic efficacy and to promote its development in various research interests ranging from material science and nanoscience to biomedicine and clinical medicine.

KEYWORDS: *supramolecular self-assembly, functional nanomaterials, cancer theranostics, photodynamic therapy, photothermal therapy*

1. INTRODUCTION

The morbidity and mortality of cancer have been rapidly growing worldwide for centuries, and now it has become the leading cause of death.¹ According to the data from International Agency for Research on Cancer, there were about 18.1 million new cancer incidents in the world in 2018 and the number of deaths caused by cancer-related diseases was 9.6 million in that year.² The high risk and increasing death rate of this growing disease have motivated the exploitation of rapid and precise theranostic approaches to fight against cancer. Traditional cancer therapies primarily contain surgery, chemotherapy, and radiotherapy.³ However, surgery in many cases can hardly remove all cancer cells completely, and both chemotherapy and radiotherapy may have severe side effects on normal cells.⁴ In view of this, more effective therapies need to be established to achieve satisfactory treatment outcomes. Up to now, various therapy strategies such as photodynamic therapy (PDT),^{5–9} photothermal therapy (PTT),^{10,11} gene therapy,¹² and immunotherapy^{13,14} have emerged as effective tools to improve therapeutic outcomes. Among them, phototherapies, including PDT and PTT, have aroused extensive attention in recent years owing to

their high efficiency, noninvasive light conversion, excellent tumor targeting, and minimal side effects.^{15,16} More notably, the rapid development of nanotechniques and nanomaterials has greatly promoted the progress of phototherapy in eliminating various types of cancers.^{17–20} Nowadays, cancer therapy is moving forward to developing more specific and efficient therapeutic strategies, which is needed to achieve precise control of the whole system for satisfactory therapeutic efficacy.^{21–23}

Supramolecular self-assembly is a meaningful approach for the “bottom-up” manipulation of highly ordered nanostructures to develop innovative bionanomaterials, which has opened the door for new possibilities of cancer theranostics.^{24–26} Multiple intermolecular interactions such as electrostatic interactions, hydrophobic interactions, π - π stacking, host-guest interactions, hydrogen bonding and metal

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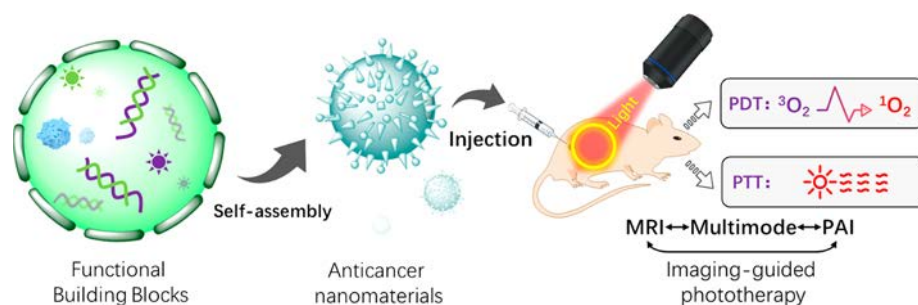


Figure 1. Schematic illustration representing self-assembly of functional building blocks in the construction of phototherapeutic nanomaterials based on noncovalent supramolecular interactions for PTT, PDT and the diagnostic modalities such as PAI and MRI for imaging-guided phototherapy of cancer.

coordination, have been widely utilized to direct the self-assembly of multifunctional building motifs.^{27–29} The constructed nanomaterials can readily integrate the advantages of different functional candidates, which largely facilitates their biomedical applications in realizing synthetic anticancer therapy and multimodal imaging-guided tumor diagnosis and treatment.³⁰ Furthermore, the assembled nanosystems not only possess dynamic and reversible supramolecular interactions but also have a subtle balance inside.³¹ As a result, small changes in pH, ionic strength, temperature, or solvent polarity of the environment can cause magnified changes in these nanomaterials. Thus, this prominent feature holds great potential in designing stimuli-responsive nanoassemblies for the specific microenvironments of tumors.²⁷ The ordered pattern of the self-assembled nanomaterials enabled better stability than the building blocks themselves, and also exhibited good performance in prolonging blood circulation time, improving targeting and therapeutic effects.^{32,33} Combined with the intrinsic structural characteristic and enhanced permeability and retention (EPR) effect of the nanoarchitectures, the whole system can effectively combat cancer, especially via phototherapy.

It was recognized early in the 20th century that light played a pivotal role in disease treatment.²¹ PDT, serving as a noninvasive, highly selective strategy for the destruction of unwanted cells and tissues under certain light irradiation,⁷ had been approved in the clinic as an oncologic therapeutic modality which was widely applied in dealing with various types of cancers.¹⁷ Compared with traditional cancer therapies, the main advantages of PDT are their light-specific treatment to irradiate only the diseased lesion and highly selective tumor targeting of the well-designed phototherapeutic agents, especially nanoagents, to minimize the systemic toxicity. Three crucial components including light, photosensitizers (PSs), and oxygen are necessary to achieve effective PDT. Among them, PSs represent a type of light-absorbing dye which is of great significance in PDT by transferring excited electrons to produce radical anion species (type I) or directly leading triplet oxygen to generate singlet oxygen ($^1\text{O}_2$) (type II) to achieve tumor killing.¹⁸ With the development of nanotechnology, nanocarrier coassembled PSs and supramolecular self-assembled PSs that utilize noncovalent interactions have been developed with sensitive and controllable photoactivity. These innovative nanomaterials can dramatically increase the biocompatibility of the PS molecules and enhance tumor targeting and accumulation, thus improving the specificity and therapeutic efficacy of PDT. In addition, self-assembly can not only provide a platform for combining

various functionalities into one system but also achieve dynamic and reversible regulation to facilitate accurate and efficient therapy.

Different from PDT, which relies on reactive oxygen species (ROS) produced by PSs under light irradiation for killing cancer cells, PTT utilizes the photothermal effect to “cook” cancer.^{34,35} Essentially, it employs near-infrared (NIR, 650–1700 nm) light with strong penetrating ability to biological tissues as an energy source for inducing the photothermal transduction agents (PTAs) to transform the light energy into heat, thus causing thermal ablation in tumors and subsequent cell death by rapidly increasing the local temperature.^{10,36} Ideal PTAs should be nontoxic, show high absorbance in the NIR region, and have satisfactory photothermal conversion efficiency (PCE).^{37–39} Over the past years, a large number of PTAs have been exploited to be applied in PTT of cancer, which has achieved remarkable therapeutic outcomes both *in vitro* and *in vivo*. In particular, PTAs with highly ordered nanostructures are quite noteworthy due to their enhanced targeting and accumulation in tumors.^{40–42} Additionally, supramolecular assembled nano PTAs have potential to combine multiple therapeutic functional candidates into a single system for practical applications and can realize higher PCE compared with small-molecule based PTAs. Even so, there still exist certain obstacles that restrict the efficacy of PTT on cancer therapy, including the depth of light penetration, biocompatibility of PTAs, and damage to normal tissues. Supramolecular assembly can effectively overcome these shortcomings by introducing nanomaterials with absorption in the second near-infrared window (NIR-II, 1000–1700 nm), incorporating biocompatible building blocks into the PTT system and achieving stimuli-responsive control of the nanoassemblies.⁴³ Beyond simply killing cancer cells, current research has found a new direction to introduce multiple imaging technologies such as photoacoustic imaging (PAI),⁴⁴ X-ray computed tomography (CT), and magnetic resonance imaging (MRI) into cancer treatment, thus establishing advanced platforms for more precise and efficient therapy.

Over the past decades, the rapid advances in self-assembled nanotechnologies and development of intelligent and efficient PSs and PTAs for PDT and PTT, respectively, have been well witnessed.^{45,46} This review involves the most up-to-date works in enhanced phototherapy of cancer based on self-assembled nanomaterials (Figure 1). Herein, focusing on the existing problems of phototherapy, we summarize the representative studies in the field of self-assembly that could promote therapeutic efficacy and reduce side effects for satisfactory

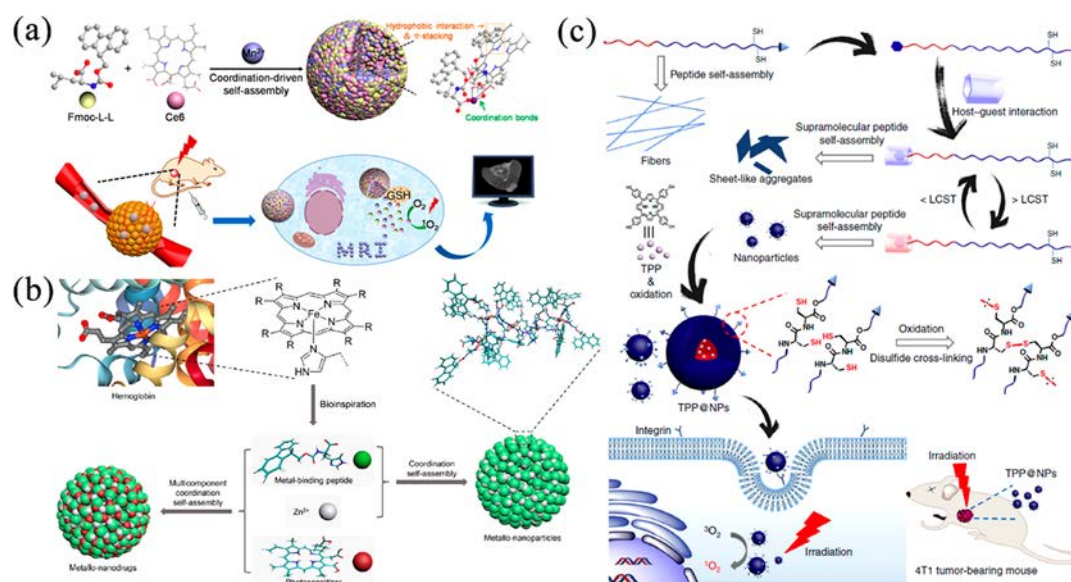


Figure 2. (a) Construction of the fabrication process of Fmoc-LL/Mn²⁺ nanoparticles (FMCNPs) and their stimuli-responsive disassembly process for effective PDT. Reproduced with permission from ref 59. Copyright 2018 American Chemical Society. (b) Schematic illustration of metallo-nanodrugs based on synergistic coordination of photosensitizers and small peptides in the presence of Zn²⁺. Reproduced with permission from ref 61. Copyright 2018 American Chemical Society. (c) Schematic illustrations of the controllable peptide-induced self-assembly process and its PDT functionalization. Reproduced with permission from ref 62. Copyright 2019 Nature Publishing Group.

outcomes and discuss examples of combining PDT/PTT with other therapies to achieve synergistic treatment. In addition, we also introduce the emerging applications of developing imaging-guided phototherapy to construct an integrated platform for diagnosis and treatment of cancer-related diseases. Finally, the challenges and perspectives of employing PDT and PTT to fight cancer are discussed.

2. SELF-ASSEMBLED PHOTOSENSITIZERS FOR ENHANCED PDT

Photosensitizers are known as the key factor in the course of PDT, and the attentive design of them can do much favor for the improvement of PDT efficiency.^{47–49} Over the past decades, PSs have undergone continuous development, which evolves to more prominent photonic and biological features.^{49–51} The newly created “third generation” PSs are dedicated to incorporate bioresponsive elements for enhanced selectivity. In particular, supramolecular self-assembly with structural controllability and dynamic adjustability could hold great potential in constructing intelligent photosensitizers.⁵² By controlling of multiple noncovalent interactions and spatial specificity, these assembled nanoPSs can not only combine bioactive species to promote biocompatibility but also achieve accurate targeting and enhanced therapy based on their reversible assembly/disassembly process and tumor-specific microenvironment, which may remarkably accelerate the progress of PDT. In this chapter, we will specifically discuss the commonly employed strategies via supramolecular self-assembly for designing smart PSs to promote PDT.

2.1. Peptide-Based Self-Assembled Nanomaterials for Enhanced PDT. With distinguished advantages of good compatibility, high cell permeability, low immunogenicity, and simple linear structures, peptides have emerged as promising motifs for constructing advanced materials at the nano- and macroscale in the biomedical area.^{53–56} Compared with polypeptide that usually possesses toxicity due to degradability issues, short peptides have received more and more attention

as a result of their intrinsic excellent degradability and ease of production.⁵⁷ In addition, specially designed peptides possess the ability to modulate both structures and functionalities to suit the needs of drug delivery *in vivo*.⁵⁸ Especially in the supramolecular nanosystem, self-assembly of peptides and other functional candidates like light-absorbing molecules provides opportunities for regulating the physicochemical features of the photosensitizers, triggering the formation of nanoassemblies with controllable phototherapeutic effects. In light of this, peptide-based nanomaterials have promising characteristics for photodynamic applications.

Early studies on peptide-based self-assembled systems were mainly confined in the *in vitro* studies due to the challenges for *in vivo* research. To address this concern, rational design of the nanostructures including morphology, size, charge, and selection of the peptide are of great research focus. For example, Yan et al. reported a dipeptide-based functionalized nanoassembly that was injectable for *in vivo* PDT.⁵⁹ Aiming at overcoming the limitations of relatively large particle size and positive zeta potential which restricted their subsequent *in vivo* exploration, they started with developing a new preparation approach for constructing desirable nanocarriers with a small size of approximately 100 nm. The cationic dipeptide was chosen as the building block model to achieve glutaraldehyde-assisted assembly into nanoparticles (NPs), where preparation time was precisely controlled to obtain the ideal size and photosensitizer Ce6 was successfully encapsulated into the NPs during the assembly process. Further decorating functional heparin polymers to the NPs made them negatively charged for improving *in vivo* delivery. These injectable dipeptide-based delivery nanoassemblies with small average diameter and negative zeta potential possessed a prolonged circulation time compared with free Ce6 and prominent biosafety, thus obtaining the excellent PDT antitumor effect.

Toward more advanced self-assembly systems, one possible direction is extending beyond the single interacting mode to exploit various supramolecular interactions synergistic in a

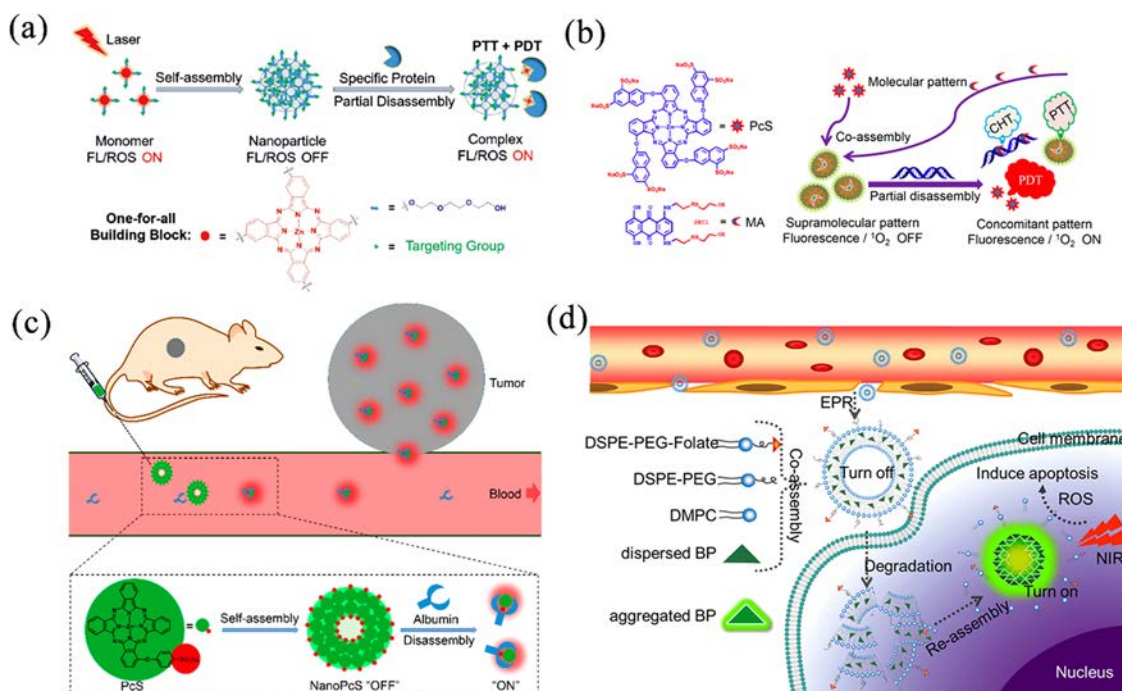


Figure 3. (a) Processes of the dynamic Pc assembly and controlled partial disassembly along with the switchable photoactivity. Reproduced with permission from ref 66. Copyright 2018 American Chemical Society. (b) Construction of a theranostic nanoagent via supramolecular interactions between MA and PcS with nucleic-acid-driven activatable properties to achieve fluorescent imaging and PDT cooperated with PTT and CHT. Reproduced with permission from ref 67. Copyright 2018 American Chemical Society. (c) Schematic depiction of the dynamic assembly/disassembly process of PcS with switchable photoactivities for *in vivo* fluorescence imaging and tumor-targeted PDT. Reproduced with permission from ref 68. Copyright 2019 American Chemical Society. (d) Illustration of coassembled AIE-PS@liposomes comprised of AIE-PS and lipid for PDT of cancer cells. Reproduced with permission from ref 69. Copyright 2019 American Chemical Society.

single self-assembly system to dictate peptide association. In view of this, Yan et al. developed a minimalist multicomponent nanoplatform based on the integration of π - π stacking, metal coordination, and hydrophobic interactions for amphiphilic peptides-induced self-assembly with simultaneous encapsulation of photosensitive molecules.⁶⁰ The metal ions and drugs loaded in the constructed NPs were quite stable in physiological conditions as a result of multinoncovalent interactions, while the dynamic characteristic of metal–ligand bonds made the nanosystem sensitive to glutathione (GSH), leading to the responsive release of photosensitive candidates in the tumor region (Figure 2a). Moreover, the intracellular active GSH was decreased since the formation of GSH/ Mn^{2+} compounds, which could induce ROS generation. Taking advantage of these ingenious designs, the NPs were demonstrated to exhibit improved antitumor PDT efficacy with controllable release. It is worth noting that in natural organisms metalloproteins, integrating both organic cofactors and metal ions through coordination interactions, have played a pivotal role in many vital activities. Inspired by the wisdom of nature, the same group reported a smart self-assembly strategy via multicomponent coordination that was based on the interaction of metal ions, photosensitizers, and histidine-containing short peptides to construct metallo-nanodrugs to achieve effective therapy of cancer (Figure 2b).⁶¹ Intriguingly, the constructed sphere nanostructures showed supreme colloidal stability in physiological environments and stimuli-responsive behavior in the special tumor microenvironments where pH values were relatively low while GSH levels were quite high. In contrast to individual photosensitizers, the metallo-nanodrugs achieved the integration of targeted

responsive release and robust blood circulation in one system, providing a promising means to fabricate advanced nanomedicines for precise therapy.

Facile controllability on self-assembly morphology is quite crucial to the realization of advantageous functions. Especially, peptide nanostructures coassembled with multiple candidates are quite promising in enhanced PDT.⁶² For example, Huang et al. proposed a programmable self-assembly approach to fabricate supramolecular peptides with multiple morphologies that could be applied in controllable PDT. As shown in Figure 2c, a hydrophilic pillar[5]arene with ten tri(ethylene oxide) groups (P5) as representative macrocyclic host molecule was selected to be incorporated in the peptide assembly, which was a prospective candidate to adjust the assembly behavior and further facilitate its function. Owing to the host–guest interactions and unique thermoresponsive property of P5, the peptide assemblies could be regulated from nanofibers to sheet-like regulations and further be reversibly controlled into supramolecular NPs with increased temperature. Interestingly, the peptide-based NPs could not only achieve enhanced tumor targeting especially to A549 cells due to the ingenious design of the nanostructures but also encapsulate photosensitizer with high stability, promoting the photosensitizing effect for efficient anticancer treatment. Therefore, this work provided a promising strategy to construct the highly controllable supramolecular peptide-based nanoassemblies with excellent PDT functionality.

2.2. Assembly/Disassembly Nanomaterials for Controllable PDT. Apart from developing peptide-based nanoassemblies, another direction is toward the construction of dynamic regulated nanoarchitectures for enhanced PDT.^{63–65}

As living systems are quite complex and involve numerous bioactive molecules such as cysteine, acids, GSH, and enzymes that are enabled to induce the assembly/disassembly process,²⁷ it has been quite attractive to achieve stimuli-responsive nanostructures with collective properties and marvelous functions for *in vivo* applications. Notably, such bioactive molecules and other specific recognition units lay the foundation of controlled assembly/disassembly for the responsibility of tumor targeting, long circulation time, and controlled release. Furthermore, since the size of the nanostructures is closely tied to their functionalities, the size changes before and after assembly/disassembly can be quite crucial for their *in vivo* behavior.³² Therefore, developing smart strategies with rational design and careful regulation to the supramolecular assemblies can not only minimize unwanted side effects of anticancer phototherapy but also be beneficial to the effective improvement of the therapeutic effect.

Biotin receptors, human carbonic anhydrase II (hCAII), and folic acid receptors are overexpressed in various types of cancer cells, which can act as effective biomarkers for promising tumor-targeting therapy. Inspired by this feature, Yoon and colleagues developed a “one-for-all” design strategy via partial disassembly driven nanotheranostics, where the nanostructures were self-assembled with a targeting group biotin and a representative zinc(II) phthalocyanine (Pc) derivative through a water-soluble linker (Figure 3a).⁶⁶ Due to the particular noncovalent interactions between the photosensitizer Pcs and targeted biotin, the spherical nanoassemblies could exhibit partial disassembly due to the competitive combination with biotin by the specific protein. In particular, the nanostructured phthalocyanine assemblies (NanoPcTBs) could induce dramatic ROS production in those biotin receptor-positive cells owing to their competitive binding to the targeted biotin motif. As a result, NanoPcTBs served as efficient nanotheranostics for specific-tumor induced fluorescence imaging and activatable PDT. This work provided a versatile platform enabling different small molecular targeting groups to be easily adopted for both achieving enhanced tumor targeting and directing controllable and dynamic nanoagents.

Apart from these specific receptors, the same group further utilized the feature that the commonly used anticancer drug mitoxantrone (MA) can specifically intercalate into DNA to achieve controllable regulation. They designed a versatile supramolecular system for the construction of nanoassemblies based on photosensitizer zinc(II) phthalocyanine tetrasubstituted by 6,8-disulfonate-2-naphthoxy groups (PcS) and chemotherapeutic drug MA via host–guest interactions (Figure 3b).⁶⁷ The superquenched nature of PcS-MAs endowed them with PTT characteristic rather than serving as PS, while nucleic acid-induced partial disassembly activated ¹O₂ generation that led to controllable PDT with minimal side effects. Notably, the constructed nanostructures could enhance PDT by relieving tumor hypoxia and increasing intratumoral blood flow due to their mild PTT effect. Meanwhile, the coassembled chemotherapeutic agent MA enabled the whole system to destroy almost all tumor cells, including those located in deep tissues that may be out of the PDT range, thus to realize comprehensive and efficient treatment. Beyond the assembly strategy based on multiple components, recently, the Yoon group successfully developed a switchable strategy utilizing a carefully selected single building block model with intrinsic multifunctions to construct smart nanotheranostics (Figure 3c).⁶⁸ The well-designed photosensitizer monomer

PcS can self-assemble into highly ordered nanovehicles via multiple noncovalent interactions. Besides, albumin, generally acting as a promising biomarker, was chosen here as a specific recognition motif to the photosensitive hydrophobic organic anions. A series of characterizations had validated that albumin could trap the photosensitizer and further triggered the disassembly process of NanoPcS, causing the signal “turning on” photoactivity. Different tumor model tests suggested that the constructed nanoagents held charming tumor-targeting ability and were promising for time-modulated, activatable PDT. Therefore, this work could not only achieve controllable anticancer therapy but also extend the exogenous detecting probes with albumin to *in vivo* applications.

A further development of dynamically controllable PSs was demonstrated to extend the nanostructures into a reversible assembly disassembly realm. Different from conventional PSs such as chlorin and porphyrin derivatives which often experience aggregation-caused quenching (ACQ) process, Li and co-workers proposed to develop aggregation-induced emission PSs (AIE-PSs) for improving photosensitivity in PDT (Figure 3d).⁶⁹ Since controllability of the photosensitivity in a living system was of great significance, entrapping AIE-PSs in liposome through a coassembled method seemed effective to minimize phototoxicity for normal tissues after injection. The fabricated AIE-PS@liposomes complex could keep a “turn off” state during delivery and reach tumor sites with passive and active targeting. Due to liposome degradation, AIE-PSs had been released and then reaggregated to form nanoassemblies with strong emission, which led to phototoxicity for target tissues. The prominent feature of dynamic AIE-based nanomaterials could not only lift the restriction of operating in a dark room but also enable enhanced PDT with favorable targeting and efficiency. Therefore, it was believed that AIE@liposomes could act as an outstanding platform for utilizing newly developed AIE-active PSs on *in situ* biological response. More importantly, it may guide the direction to further development of photodynamic anticancer therapy for clinical applications.

2.3. Nanomaterials Specific to Hypoxic Microenvironment for Enhanced PDT. Despite its clinical promise, PDT faces a main drawback related to its oxygen-dependent feature, which largely limits its availability against hypoxic tumors.^{70–72} In particular, most PDT processes occur through a Type-II mechanism where O₂ is highly dependent and involves a dramatic consumption. Unfortunately, for most regions in solid tumors, there exists a low O₂ level, which is mainly caused by insufficient blood supply to tumors and the unlimited proliferation of cancer cells.⁷³ Moreover, the insufficient O₂ in tumors leads to a significantly decreased antitumor efficacy of PDT, especially for the conditions of continuous treatment.⁷⁴ In light of this, numerous efforts have been made to overcome this drawback of PDT. These explorations successfully motivated a variety of innovative strategies to solve the hypoxic problem by oxygen supply for efficient PDT or utilize the specificity of hypoxia to activate synergistic therapy with satisfactory efficacy.⁷⁵ In this part, we will discuss the self-assembled nanomaterials focused on improving hypoxic specific PDT by exhibiting system design, working mechanism, and practical applications.

2.3.1. External Oxygen into Tumors. One of the most common and straightforward ways developed to overcome tumor hypoxia during PDT is to conduct oxygen supply through external delivery.⁷⁶ Hemoglobin, possessing inherent

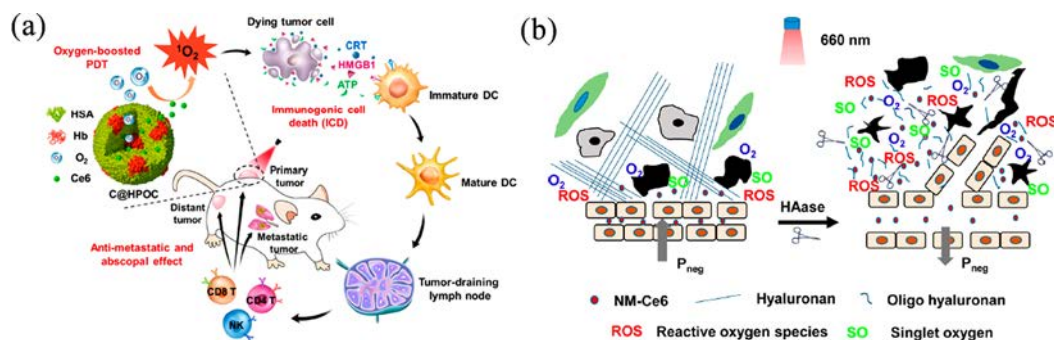


Figure 4. (a) Schematic illustration of oxygen-augmented immunogenic PDT utilizing a bioinspired hybrid protein oxygen nanocarrier with Ce6 loaded (C@HPOC) for eliciting the antimetastatic and abscopal effect. Reproduced with permission from ref 81. Copyright 2018 American Chemical Society. (b) Schemes showing the effects of HAase on the modulation of tumor microenvironment, resulting in enhanced efficacy of *in vivo* PDT cancer treatment via improving tumor oxygenation and promoting EPR effect for NPs. Reproduced with permission from ref 82. Copyright 2016 American Chemical Society.

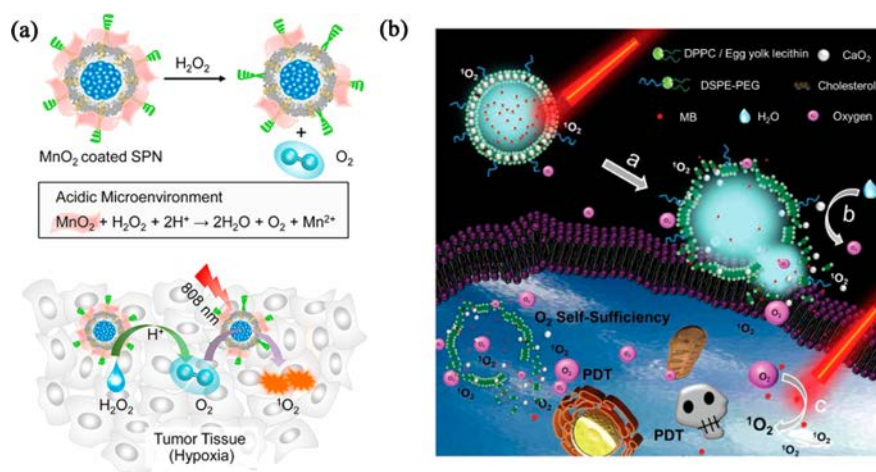


Figure 5. (a) Schematic description for the H_2O_2 -responsive mechanisms of SPN-M1 and the detailed process of SPN-M1 for augmented PDT in tumor. Reproduced with permission from ref 84. Copyright 2018 American Chemical Society. (b) Structure illustration of LipoMB/ CaO_2 nanoplateform for O_2 self-sufficient PDT. Reproduced with permission from ref 85. Copyright 2017 John Wiley and Sons.

and reversible oxygen delivery capacity, seems to be an ideal carrier for transporting oxygen to tumors.^{77,78} Also, it is exactly the oxygen carrier inside red blood cells in the body to deliver oxygen from the lungs to other organs. However, free hemoglobin is still far from eligible oxygen carriers to tumors due to its poor stability and short circulation half-life.⁷⁹ In this respect, the integration of oxygen-carried hemoglobin and PS with rational spatial arrangement probably holds great potential for enhancing PDT efficacy.⁸⁰ In recent research, Cai and colleagues utilized the highly stable and biocompatible candidate human serum albumin (HSA) to accommodate hemoglobin (Figure 4a).⁸¹ Furthermore, the self-assembled hybrid NPs could not only combine HSA with hemoglobin via intermolecular disulfide conjugations but also incorporate photosensitive Ce6 for oxygen-augmented PDT. Profiting from the codelivery of photosensitizer and oxygen with excellent tumor-targeting, the nanosystem could conquer tumor hypoxia and thus produced massive $^1\text{O}_2$ to enhance the PDT performance. Notably, this oxygen-supplemental PDT platform was further found to elicit immunogenic cell death with increasing release of danger-associated molecular patterns, which finally activated natural killer cells and T lymphocytes. Therefore, the constructed bioinspired NPs could effectively ablate both primary tumors via oxygen-boosted PDT and abscopal metastatic tumors through triggering strong and

systemic immunity, which provided a good exemplification of oxygen-augmented immunogenic PDT to realize metastatic cancer therapy.

Apart from direct oxygen delivery by suitable carriers, developing appropriate methods to modulate vessel densities for improving oxygen perfusion has also been widely recognized. For instance, Liu and colleagues uncovered that hyaluronidase could break down the major component hyaluronan of the extracellular matrix in tumors. Taking advantage of this feature, they designed to combine hyaluronidase with NP-based PDT for relieving the hypoxia status at the tumor site (Figure 4b).⁸² In this work, how administration with hyaluronidase would affect the microenvironment in tumors had been intensively studied, and thus it was demonstrated that it could increase oxygen perfusion inside a tumor as a result of the improvement in tumor vessel densities. Meanwhile, this improvement could even promote the EPR effect of the PS-contained nanomicelles, which integrated with satisfactory tumor oxygenation level benefits in greatly improving PDT outcomes. Overall, this kind of biomimetic system could serve as an effective tool to replenish O_2 consumed during the PDT process, thus effectively promoting anticancer efficacy.

2.3.2. In Situ Oxygen Generation. Beyond introducing exterior oxygen into a tumor environment, it may be a smart

idea to generate oxygen just *in situ* for ready and efficient oxygen supply.⁸³ A remarkable point of cancer cells compared with normal counterparts is their higher levels of hydrogen peroxide (H_2O_2) mainly ascribed to abnormal proliferation, DNA damage, angiogenesis, and metastasis. It is well-known that in living organism catalase acting as a significant enzyme can effectively catalyze H_2O_2 to be decomposed into oxygen, which protects cells from oxidative damage. Inspired by this excellent property, researchers have dedicated to developing nanomaterials with such catalyzing ability to realize *in situ* oxygen generation in cancer cells for overcoming hypoxia. For example, Pu and co-workers recently established an innovative protocol to fabricate hybrid assembled core–shell semi-conducting NPs which could experience O_2 evolution inside hypoxic cancer for enhanced PDT (Figure 5a).⁸⁴ Such oxygen-producing nanoparticles were synthesized with two key components, a NIR light excitable core serving as both NIR photodynamic and fluorescence imaging component, as well as sheet-structured MnO_2 as a sacrificing unit to generate O_2 from H_2O_2 . The specific acidic microenvironment of the tumor successfully triggered the catalase-like activity of MnO_2 to achieve effective oxygen supplement and thus to eradicate cancer cells both *in vivo* and *in vitro* with enhanced PDT. Therefore, this study not only provides an *in situ* oxygen-synthetic strategy but also establishes a tumor-microenvironment-specific theranostic nanoplatform to overcome hypoxia for improved phototherapy.

In contrast to studies converting H_2O_2 to O_2 , Zhang and colleagues carried out an interesting investigation that adopted water as an O_2 source to improve PDT. In this study, calcium peroxide (CaO_2) had been utilized as an O_2 -producing candidate to regulate tumor hypoxia owing to its rapid cell metabolism, high biocompatibility, and efficient O_2 generation. In order to achieve hypoxia specific PDT, the ingenious liposome nanoplatform was established with hydrophilic PS methylene blue (MB) and oxygen supplier CaO_2 NPs encapsulated into the hydrophilic cavity and hydrophobic layer, respectively (Figure 5b).⁸⁵ After first-light irradiation, the liposome structure was initially broken, thus exposing CaO_2 to water that accelerated O_2 generation speed. Further irradiation promoted PS to achieve effective PDT with abundant oxygen supply. This O_2 self-sufficient nanosystem with dual-stage light manipulation had shown a successful paradigm for enhanced PDT against hypoxic tumor.

2.3.3. PDT Combined with Hypoxia-Activated Therapy. In recent years, contrary to many proposed strategies focusing on relieving tumor hypoxia, an increasing number of pioneering studies have demonstrated an unexpected approach that takes advantage of the specific hypoxia microenvironment in tumors for selective and efficient cancer therapy.⁸⁶ Among them, the hypoxia-activated prodrugs being applied to tumor treatment have received extensive attention due to their charming feature of converting nontoxic prodrug components to toxic counterparts through reduction at hypoxic circumstance.⁸⁷ Since the tumor cells nearby vasculatures are in sufficient oxygen supply, it is quite necessary to combine PDT to increase oxygen consumption for enhancing the performance of these hypoxia-activated prodrugs.

The feasibility and efficiency of the coassembled nanosystem where PS and prodrug are integrated together in the form of NPs including silica liposomes, polymers, or core–shell NPs have been validated in recent studies.^{88–90} For example, Liu et al. prepared a multipurpose liposome encapsulated hydro-

phobic PS Ce6 derivative and hydrophilic prodrug AQ4N (Figure 6a).⁸⁹ The chelating of a ^{64}Cu isotope with Ce6 further

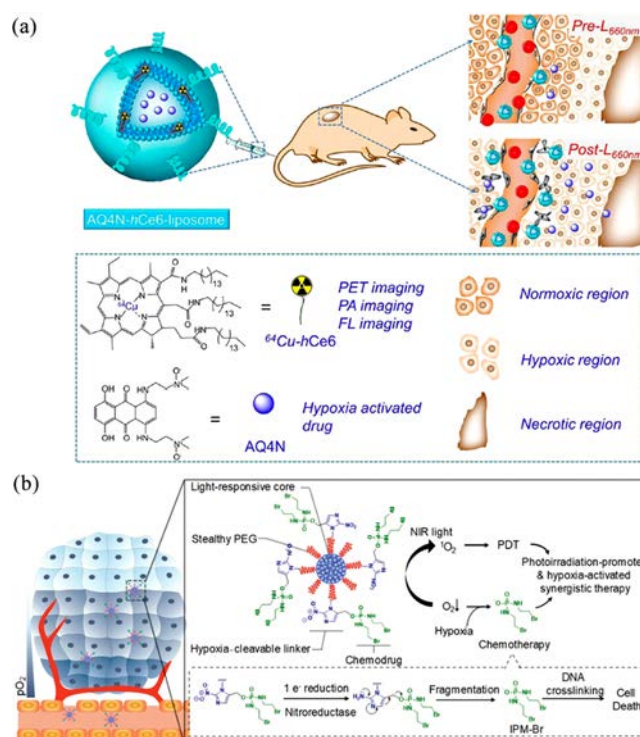


Figure 6. (a) Schematic illustration of the chemical constitution of AQ4N-hCe6-liposome, which can be used as a multifunctional nanotheranostic technique for multimodal imaging and PDT-induced, hypoxia-activated antitumor therapy. Reproduced with permission from ref 89. Copyright 2017 American Chemical Society. (b) Construction of SPNpd for hypoxia-activated synergistic PDT and chemotherapy. Reproduced with permission from ref 88. Copyright 2019 John Wiley and Sons.

enabled the constructed nanosystem with *in vivo* imaging ability. After irradiation with the NIR light, the tumor-bearing mice that were injected with AQ4N-hCe6-liposome exhibited severe tumor hypoxia, which in turn triggered activation of AQ4N, and eventually contributed to dramatically enhanced efficacy of cancer treatment through hypoxia-activated chemotherapy and programmed PDT. Utilizing the same concept, beyond liposome complexes, semiconducting polymer nanoprodrug (SPNpd) had also been obtained, which was self-assembled of an amphiphilic polymer brush involving light-responsive photodynamic backbone and a conjugated chemodrug with hypoxia-cleavable linkers (Figure 6b).⁸⁸ Notably, the highly ordered and compact nanostructures could promote accumulation in the tumor site. Investigation of the xenograft tumor mouse model indicated that the constructed SPNpd exerted synergistic photodynamic and chemotherapy to remarkably inhibit tumor growth. These studies represented excellent hypoxia-activatable phototherapeutic nanosystems with promising potential for efficient cancer therapy.

3. SELF-ASSEMBLED NANOAGENTS FOR ENHANCED PTT

PTT has been demonstrated to harvest and transform light into heat using PTAs to raise the tumor temperature and efficiently kill cancer cells without affecting healthy cells and

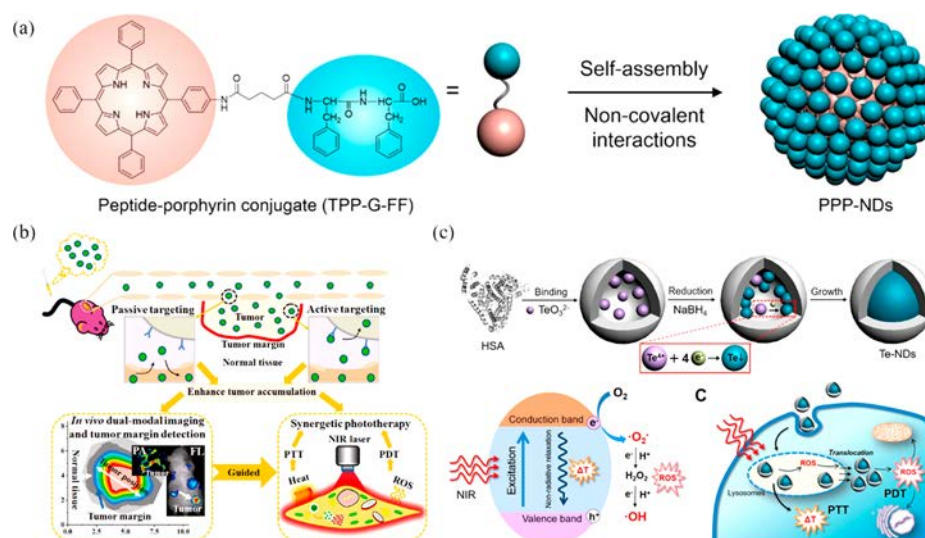


Figure 7. (a) Preparation process of peptide–porphyrin nanodots (PPP-NDs). Reproduced with permission from ref 105. Copyright 2017 American Chemical Society. (b) Schematic illustration of HSA-ICG NPs for PA/fluorescence imaging-guided PDT/PTT treatments. Reproduced with permission from ref 106. Copyright 2014 American Chemical Society. (c) Schematic illustration of Te-NDs preparation based on photoconversion and intracellular synergistic PTT/PDT due to the generation of simultaneous photothermal effect and ROS under NIR light irradiation. Reproduced with permission from ref 107. Copyright 2017 American Chemical Society.

tissues.^{91–93} Compared to other therapies, PTT exhibits unique advantages including noninvasiveness, high efficiency in killing different kinds of cancer cells, and minimized damage to the surrounding healthy tissues due to the controllable laser irradiation dosage and location.^{10,94} The PTT effect greatly depends on the performance of the prepared PTAs, which accumulate in tumors at a much higher level than in surrounding healthy tissues to enhance the PTT outcomes. Nowadays, numerous PTAs accelerate the development of PTT research, especially self-assembly nanoagents, which can accumulate in tumor through an EPR effect and active targeting.^{95–99} In addition, self-assembly nanoagents can obtain much higher PCE and excellent potential for integration with imaging guidance and therapeutic function. In the following aspects of the review, PTAs represent self-assembled PTAs until stated again.^{42,100,101}

In the past decades, tremendous efforts have been devoted to developing various kinds of PTAs to improve the performances and overcome the intrinsic disadvantages or limits for biomedical applications. Thus, accordingly, distinct strategies have been built to improve the PTT performance, including adjusting appropriate laser dosage, improving the PCE of PTAs, fabricating various PTAs with NIR (especially NIR-II) absorption, developing PTAs responsive to tumor microenvironment (TME), and controlling the properties of the NPs.^{102,103} Moreover, combination between PTT and other therapies has exhibited beneficial treatment efficiency. In many issues, the combined therapies provide improved treatment outcomes due to a synergistic effect. PTT can directly eliminate cancer cells or combine with other therapies such as chemotherapy, PDT, and immunotherapy through improving the drug loading and delivery efficiency, extending the drug release, or enhancing the biological responsiveness. Based on these achievements, improved therapy efficiency has been obtained even at lower dosage of PTAs. In this section, we focus on the development and application of different types of self-assembled PTAs, including protein-based, stimuli-

responsive, and NIR PTAs. Then, the combined therapies with PTT will also be described.

3.1. Protein-Based Self-Assembly for PTT. Proteins play critical roles in various physiological processes, which can be regarded as intrinsic polymers. Due to the intrinsic biocompatibility, protein-based photothermal systems have attracted more and more attention. In addition, protein-based PTAs exhibit long-term biosafety and higher biocompatibility than other inorganic photothermal materials. Proteins have special molecular structures, which can load PTAs with specific sites and lead to more suitable physiochemical properties for better photothermal performance.^{32,104}

One feature needs to be pointed out: the natural protein-based photothermal species can be formed through cooperative interactions of porphyrins and hemoglobin in living tissues. Inspired by this, Yan and co-workers designed new nanodots of around 25 nm diameter based on self-assembly of porphyrins with peptide-modulation as PTA for antitumor therapy (Figure 7a).¹⁰⁵ In this case, L-phenylalanin-L-phenylalanine (FF) was used as the core self-assembling monomer. As a result of the remarkable advantages including easy production, high biocompatibility, and nonimmunogenicity, FF assemblies had gained increasing attention. The complete fluorescence quenching of the assembled nanodots and the inhibited ROS generation could be achieved due to strong π -stacking, leading to a highly efficient PME. Finally, the assembled photothermal nanodots, PPP-NDs, were fabricated with excellent tumor accumulation and effective tumor ablation. PP-NDs exhibited significant photothermal efficacy *in vivo* upon irradiation of tumors with a 635 nm laser for 10 min after 24 h injection and the tumor sites temperature increased to 58.1 °C.

The major challenges for PTT lie in achieving enhanced treatment efficiency and reduced side effects, which depend on the PTAs' accumulation on the focus and precise imaging guidance to further improve the conversion efficiency of the absorbed light. Recently, Cai and co-workers developed HSA-indocyanine green (ICG) nanoparticles (HSA-ICG NPs)

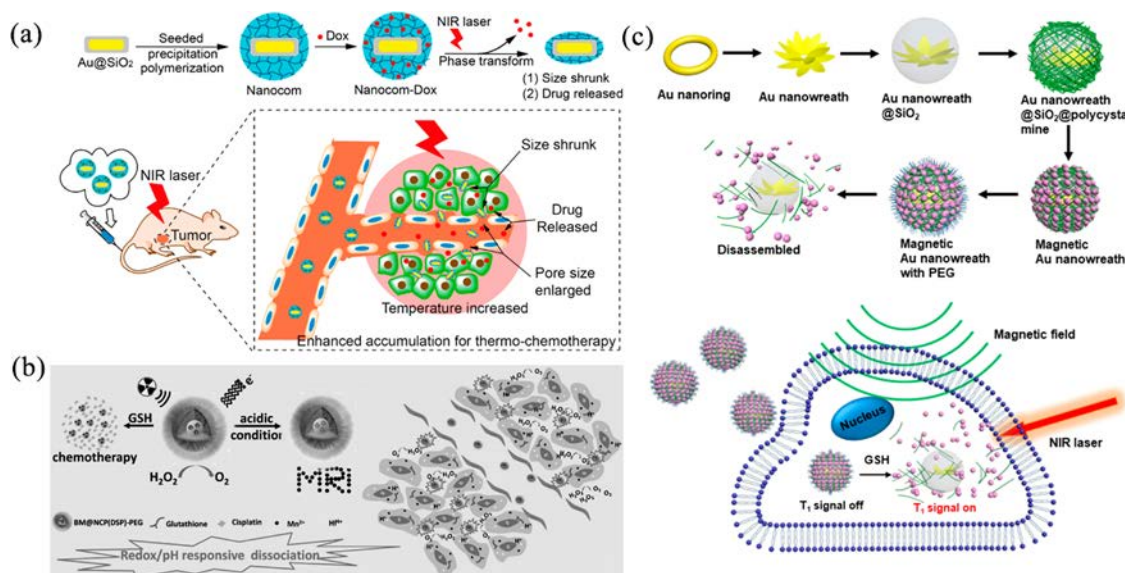


Figure 8. (a) Nanocomposite formulation process and targeted thermo-chemotherapy using the nanocomposite. Reproduced with permission from ref 111. Copyright 2014 American Chemical Society. (b) Scheme illustrating the enhanced cancer chemoradiotherapy of BM@NCP(DSP)-PEG composite NPs with redox/pH responsiveness in the tumor microenvironment. Reproduced with permission from ref 112. Copyright 2017 John Wiley and Sons. (c) Schematic illustrating the preparation of magnetic gold nanowreath and their T₁-weighted imaging and photothermal agents based on GSH-responsiveness. Reproduced with permission from ref 113. Copyright 2018 American Chemical Society.

based on programmed assembly strategy for the preparation of through intermolecular disulfide conjugation (Figure 7b).¹⁰⁶ In addition, the encapsulation of ICG in HAS NPs could improve cell uptake efficiency and enhance cancer cell targeting owing to the presence of albumin receptor, leading to the enhanced accumulation of HAS-ICG NPs in cancer cells. With this efficient PTA in hand, 92.8% of cell death could be obtained after the simultaneous PTT/PDT treatment. During laser treatment, NIR fluorescence and photoacoustic (PA) dual-modal imaging techniques could provide real time guidance for cancer phototherapy, which helped to precisely identify tumor position. After exposure to 808 nm laser for 5 min, the tumor temperature increased to 57.2 °C, which was beneficial to eliminate malignant cells, suggesting that HSA-ICG NPs were highly efficient phototherapy materials.

In another example, Chen et al. explored the biological application of elemental tellurium (Te) through fabricating bifunctional Te nanodots (Te-NDs) as efficient PTA for satisfied synergistic cancer therapy, which used hollow albumin nanocages to encapsulate Te-NDs as nanoreactors (Figure 7c).¹⁰⁷ The optimal diameter of Te-NDs was first carefully controlled to be 5.9 nm. A temperature increase of 12.5 °C could be obtained in 300 s upon treatment with Te-NDs under 785 nm irradiation at 1.5 W/cm². Moreover, Te-NDs had a higher PCE of 40.0% than that of many extensively studied PTAs. On the one hand, Te-NDs showed long-term retention at the tumor site and an effective ablation for the tumor. On the other hand, the excited electrons of Te-NDs could be directly transferred to oxygen molecules to generate ROS. Several limitations of complex compositions could be overcome through combined PTT/PDT efficiency, suggesting Te-NDs could serve as a powerful tool for synergistic cancer therapy.

3.2. Stimuli-Responsive PTAs. The increased efficiency of PTAs with sufficient accumulation in tumors is the key to successful PTT. In general, the PTAs can be accumulated in tumors based on the EPR effect or active targeting between

ligands loading on PTAs and receptors.^{108–110} However, many reports have demonstrated that the PTAs NPs would be retarded by various kinds of biological barriers before they participated in PTT. To overcome the obstacle of the barriers and enhance the PTT efficiency, many strategies have been developed including offering PTAs with responsiveness to TME or specific biological species, changing the laser irradiation with NIR light, and improving the coatings or protecting layers on the surface of PTAs.

The previously published studies have demonstrated that external light can be used for activating *in vivo* tumor targeting. Therefore, the specific accumulation of functional polymers after intravenous injection can be observed at the tumor site. Chen and co-workers fabricated polymer encapsulated mesoporous silica-coated gold nanorods (AuNR@SiO₂) with a thermal- and pH-responsive poly(*N*-isopropylacrylamide-co-acrylic acid) (PNIPAM) in a single nanocomposite (Figure 8a).¹¹¹ In this case, acrylic acid was used to improve the phase transition efficiency and electrostatically absorb the positively charged drug Doxorubicin (Dox) with satisfactory loading content of 24%. The tumor accumulation of the nanocomposite could be significantly increased upon NIR laser irradiation at the targeting site. The complete inhibition of tumor growth could be realized through the highly efficient accumulation of nanocomposites. Owing to the precise control of laser spot and irradiation time, the ideal platform based on the nanocomposite could realize the simultaneous delivery of heat and antitumor drugs.

The TME with distinct properties plays vital roles in the initiation of tumor metastasis, which is also closely related to the outcome of PTT. Therefore, it is a promising strategy to develop “smart” PTAs that can respond to different *in vivo* environments for high tumor accumulation and retention. Then, the improved PTT treatment outcomes can be obtained when the “smart” PTAs are applied in tumor therapy.

Liu and co-workers reported a unique multifunctional nanoscale coordination polymer (NCP)-based nanocomposite,

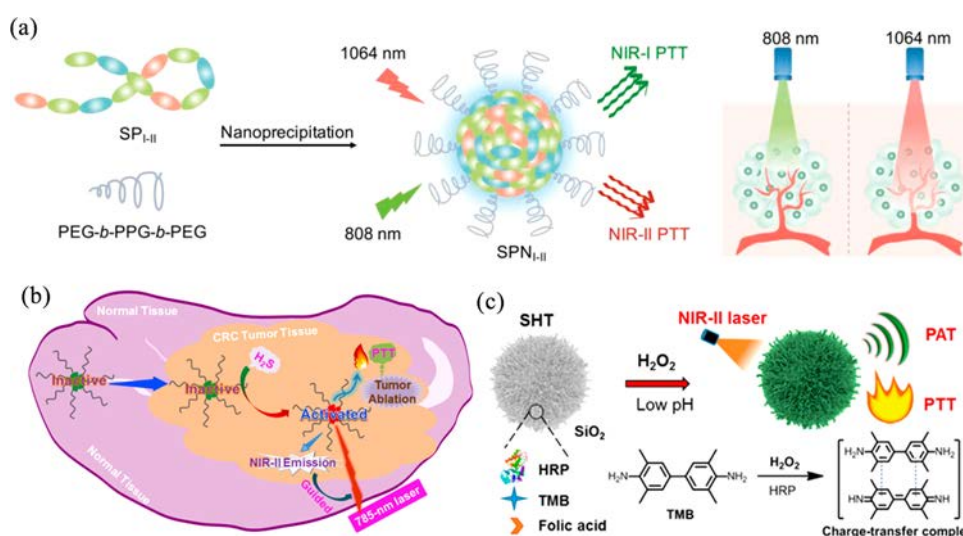


Figure 9. (a) Synthesis of SPNI-II via nanoprecipitation and application for PTT under the irradiation of 1064 nm (1 W/cm^2) and 808 nm (0.3 W/cm^2). Reproduced with permission from ref 121. Copyright 2018 John Wiley and Sons. (b) Schematic illustration of H₂S-triggered PTT for colorectal cancers using Nano-PT as PTA under the NIR-II fluorescence imaging-guidance. Reproduced with permission from ref 122. Copyright 2018 American Chemical Society. (c) Illustration of SHT formation and its application in PTT and PAT under NIR-II laser irradiation. Reproduced with permission from ref 123. Copyright 2019 American Chemical Society.

BM@NCP(DSP)-PEG NPs for synergistic cancer therapy (Figure 8b).¹¹² In this nanosystem, manganese dioxide (MnO₂, BM) NPs as the cores were stabilized by bovine serum albumin (BSA), which assembled hafnium (Hf) ions and a cisplatin prodrug on the surface. Then, the multifunctional MnO₂ NPs were further modified with polyethylene glycol (PEG) to obtain BM@NCP(DSP)-PEG. Here, the MnO₂ core was used as catalyst of endogenous H₂O₂ from cancer cells to produce the *in situ* O₂, which would help to repress hypoxia-associated radiation therapy (RT) resistance. Meanwhile, Hf acted as radiosensitizer to promote the therapy outcomes and efficiency of RT. On the other hand, owing to the dissociation of NPs under reductive environment, the organic ligands of DSP would be cleaved, and the cisplatin would also be released for cancer chemotherapy. Furthermore, the decomposition of MnO₂ within the acidic TME led to enhanced T₁ MRI. More importantly, after intravenous injection of BM@NCP(DSP)-PEG, the combination of chemo- and radiotherapy offered a significantly enhanced therapeutic outcome and efficiency. In addition, BM@NCP(DSP)-PEG exhibited low toxicity to the treated animals, which could be gradually degraded and cleared out within 7 days in the major form of Hf⁴⁺.

The photobleaching, low tumor selectivity, and tumor hypoxia often limit the efficiency of PTAs in anticancer PTT. To overcome these challenges, more hierarchical and stimuli-responsive nanomaterials through a single synthesis strategy have attracted more attention. The tumor delivery efficiency of PTAs depends on their capability to break the obstacle of the biological barriers *in vivo*. Chen et al. reported one kind of multifunctional magnetic gold nanowreaths (AuNWs) based on a layer-by-layer self-assembly strategy (Figure 8c).¹¹³ In this system, AuNW was chosen as the inner core with a coated layer of silica; sequentially, extremely small magnetic iron oxide nanoparticles (ES-MIONs) (<5 nm) were assembled on the surface of AuNW@SiO₂. Then, the carboxyl groups on ES-MION were activated through EDC/NHS and conjugated with PEG (MW 5k) molecules. The strong plasmon coupling could be obtained due to the presence of

different functional structures including Au branches, small junction, and central holes in AuNWs, which further improved the photothermal performances of Au nanorings. The self-assembly of ES-MIONs on the surface of AuNWs played key roles. On the one hand, the T₁-weighted MRI ability could be effectively quenched; on the other hand, they exhibited the responsiveness to GSH in tumor TME. In addition, the improved PAI contrast could be obtained under the assistance of magnetic AuNWs, which helped to guide the PTT treatment. In the absence of GSH, the magnetic AuNWs exhibited a weak T₁ signal. However, after reaction with GSH, the ES-MIONs could be released due to the cleavage of the disulfide bonds from the polymers, leading to an “on” state of T₁ imaging. In conclusion, the proposed GSH-responsive magnetic AuNWs demonstrated that integration of stimuli-responsiveness and nanomaterials could improve the performances of PIAs in biomedical applications.

3.3. NIR PTAs. NIR light has gained growing interest in biomedical applications owing to its incomparable advantages including noninvasive manipulation, high tissue penetration, and minimal interference of autofluorescence.^{114,115} At present, most research interests focus on the NIR-I window (650–900 nm); however, the increasing interests of exploring the longer wavelength located at the NIR-II window (1000–1700 nm) need to be noted owing to deeper tissue penetration.^{116–119} Compared to the NIR-I window, NIR-II excitation has been confirmed to supply better spatial resolution for fluorescence and improved PA signal. Until now, unfortunately, only a few studies have been reported to emphasize its unique benefits in PTT treatment, which is mainly attributed to the lack of nanomaterials absorbing NIR-II light and the limited inorganic systems that have been developed and applied in biological research, including gold nanomaterials, palladium NPs, and bismuth NPs.¹²⁰

The key challenge to develop highly efficient PTAs that absorb light in the NIR-I and NIR-II regions depends on the precise control of bandgap. Numerous efforts have been devoted to developing various strategies for the preparation of PTAs with NIR-I and/or NIR-II absorption. Pu et al.

developed the first organic PTAs (SPN_{I-II}) for cancer PTT and compared the treatment efficiency located in NIR-I and NIR-II absorption (Figure 9a).¹²¹ A semiconducting copolymer PDCDT had been selected as a target polymer for further assembling, which had two distinct sections under fine turning of the exhibiting absorption at 808 and 1064 nm, respectively. The prepared SPN_{I-II} not only allowed for NIR-II PTT on the deep tissue but also provided the opportunity to compare the performances of NIR-II and NIR-I light for PPT, which indicated the photothermal maximum temperature under 1064 nm irradiation was much higher than that irradiated at 808 nm at different tissue depth. SPN_{I-II} had higher photothermal conversion efficiencies than those of most NIR-II absorbing nanomaterials, which were 44.9 and 43.4% at 808 and 1064 nm, respectively. The enhanced capability of NIR-II light for deep tissue heating could greatly improve the antitumor efficacy in living mice. After 1064 nm laser irradiation for 10 min, a high-temperature increase of 58 °C could be observed at the location of SPN_{I-II}-injected tumor. Then, NIR fluorescence imaging-guided NIR-II PTT could be realized when the NIR dye (NIR775) was integrated with SPN_{I-II}.

To guide specific illumination of the NIR light on the tumor for efficient PTT, great efforts have been dedicated to the fabrication of multiple functional PTT platforms. However, most of the existing conventional PTAs can generate heat without considering the distribution in tissues, which leads to side effects on surrounding healthy tissues. Therefore, how to improve specificity and reduce the light irradiation toxicity are still a grand challenge. To overcome the challenge and improve the irradiation efficiency only function in the tumor region, Tian and co-workers developed a nano PTA with hydrogen sulfide (H₂S) responsiveness based on the boron-dipyrromethene (BODIPY) platform for PTT colorectal cancer (CRC) under the guidance of fluorescence imaging (Figure 9b).¹²² The overexpression of cystathionine- β -synthase (CBS) promoted the increase of H₂S, suggesting H₂S could be regarded as an important potential indicator for the PTT treatment of CRC. The prepared Nano-PT exhibited strong absorption at 790 nm and high PCE of 27.8% in the presence of H₂S. Furthermore, the 60.9 °C of tumor temperature could be obtained within 10 min under 785 nm laser treatment. Due to additional help of NIR-II fluorescence imaging, the specific activation of Nano-PT and highly efficient PTT in colorectal cancer could be realized in H₂S-rich HCT 116 tumor-bearing mice.

Imaging-guided PTT has gained increasing attention since it can precisely control the region and time of laser irradiation. As an emerging phototheranostic modality, NIR PA imaging-guided PTT has gained quite a few interests in recent tumor therapy research. However, the broad application of current NIR-II PTAs remains limited due to the inherent non-specificity from the “always-on” signal and side effects. To overcome this obstacle, an attractive strategy has caught the major attention for exploring the environment responsive PTAs generating heat only in the tumor area while no photothermal effect in normal tissues. Xing et al. reported a microenvironment stimuli-activated nanotheranostic (SHT, SiO₂@HRP/TMB) for PA tumor detection and PTT (Figure 9c).¹²³ The modified mesoporous silica had been used as nanocarrier for simultaneous loading with the enzyme horseradish peroxidase (HRP) and its substrate TMB (3,3',5,5'-tetramethylbenzidine), and the folic acid (FA) was conjugated on the surface of silica to obtain the smart

nanotheranostics. Here, TMB played dual roles for possessing strong PA signal after oxidation as well as responsiveness to the acidic environment. Accordingly, SHT could realize the highly sensitive detection for complexed environment with strong PA signal changes, and even more the precision PTT.

PTT efficiency is often affected by various biological barriers, which seriously limit the development of PTAs and their own biomedical application in tumor ablation. For example, the major challenge to treat glioblastoma (GBM) is how to penetrate the blood–brain barrier (BBB), which is formed by the brain capillaries and the cells.^{124,125} Unfortunately, it is a grand challenge for large-molecule drugs to penetrate the BBB and reach GBM lesions. Therefore, there is an urgent need to develop efficient methods for penetration of the BBB and working efficiently in GBM. NIR-Ib (900–1000 nm window) fluorescence imaging as a rising imaging technique has various advantages such as deep tissue penetrating capability and lower autofluorescence. Enormous studies confirm that endogenous components can provide better active targeting potency and biocompatibility. Therefore, macrophage plasma membranes (MPMs) are selected as drug carriers to penetrate the BBB and specifically target at GBM. Inspired by this, Cai and co-workers reported a new strategy for MPMs coating DSPE-PEG-loaded IR-792 nanoparticles (MDINPs) for NIR-Ib fluorescence imaging-guided GBM PTT.¹²⁶ MDINPs could remarkably improve the stability of IR-792 and the GBM cellular uptake. The orthotopic GBM can be precisely diagnosed through NIR-Ib fluorescence imaging based on MDINPs. Furthermore, upon the NIR laser irradiation, MDINPs could directly kill U87L cells, further suppressing the growth of orthotopic GBM, indicating MDINPs had great potential as a powerful tool for NIR-Ib fluorescence imaging-guided GBM PTT.

3.4. Combining PTT with Other Therapies. Generally, single therapy is often insufficient to provide a gratifying therapy effect. Although PTT strategy has gained exciting treatment efficacy, it probably cannot eliminate all the cancer cells, especially the residual microtumor, which will cause tumor recurrence. As smart strategies, combining PTT with other therapies can greatly enhance the therapeutic outcome. Generally, the new design strategies need to be noted that the combined therapies may benefit from each other to achieve synergistic therapy outcomes. On the one hand, combination therapy can be performed with the lower dose of PTAs than the single therapy to obtain expected effects. On the other hand, PTT can also improve the efficiency due to the efficient heat generation at the desired location.

3.4.1. Combining PTT with Chemotherapy. Combining PTT with chemotherapy provides a smart strategy for cancer therapy due to the satisfied synergistic outcomes under thermal treatment and controllable drug release. Furthermore, PTT especially imaging-guided PTT can provide an external stimulus to trigger the drug release on the tumor-specific region. Furthermore, the controlled drug release can also be obtained through manipulation of laser irradiation, including the laser dosage and time. Chemotherapy effects are also closely related to pharmacokinetics and delivery of drugs.

Cai et al. fabricated a dual-functional nanosystem (DINPs) based on Dox and indocyanine green (ICG) using a sonication method for loading poly(lactic-co-glycolic) acid (PLGA)-lecithin-PEG for fluorescence imaging-guided integrated PTT and chemotherapy, which could monitor the subcellular localization and metabolic distribution (Figure 10a).¹²⁷ The

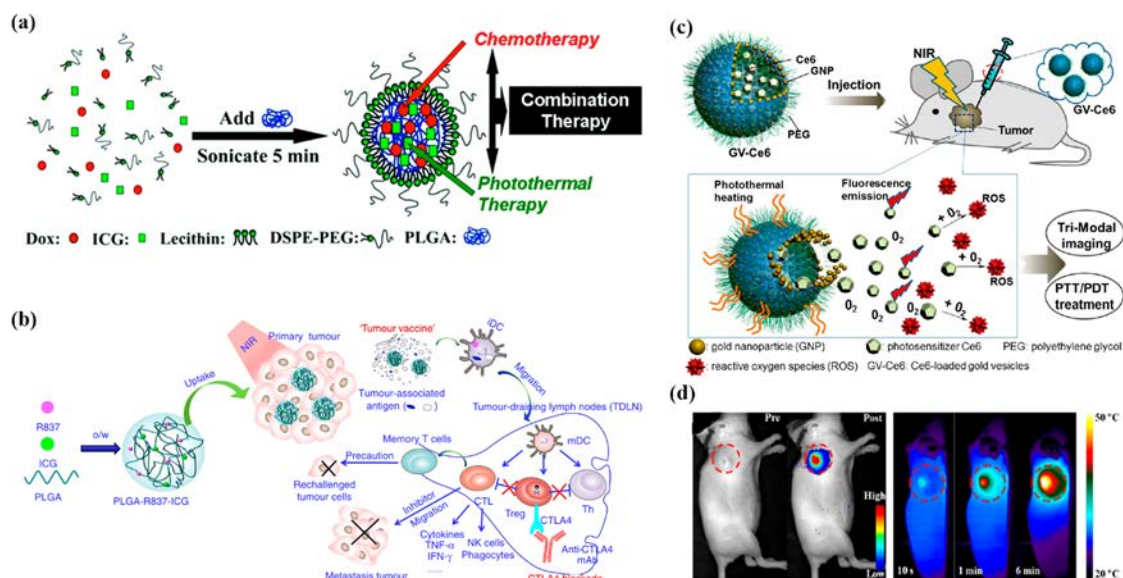


Figure 10. (a) Schematic illustration of DINPs synthesis through the single-step sonication and combination between PTT and chemotherapy. Reproduced with permission from ref 127. Copyright 2013 American Chemical Society. (b) Combination of PTT and checkpoint-blockade using PLGA-ICG-R837 as dual-functional PTA. Reproduced with permission from ref 128. Copyright 2016 Nature Publishing Group. (c) Trimodal (fluorescence/thermal/photoacoustic) imaging guided PTT/PDT for cancer after administration of gold vesicles loading Ce6 (GVs-Ce6). (d) Comparison of fluorescence imaging of tumor-bearing mice before and after injection of GV-Ce6. Thermal imaging of tumor-bearing mice at different time points after injection of GV-Ce6. (c and d) Adapted with permission from ref 129. Copyright 2013 American Chemical Society.

combination of chemo-photothermal therapies could effectively suppress tumor growth by inducing the apoptosis and death of Dox-resistant MCF-7/ADR or Dox-sensitive MCF-7. In particular, no tumor recurrence occurred, which indicated great potential of chemo-PTT.

3.4.2. Combining PTT with Immunotherapy. In the recent several years, immunotherapy, the treatment of cancers by including, enhancing, or suppressing an immune response of patients, has great potential to become a more effective therapy due to the specific antigen-antibody binding effect. To improve the efficiency of immunotherapy, various strategies have been developed and applied in practical clinical treatment, including checkpoint-blockade therapy. The tumor specific antigens can be released due to PTT-induced cancer cell death, leading to *in situ* antitumor immune response, which will especially eliminate disseminated, metastatic tumor. Liu et al. developed the PTAs by integrating ICG and imiquimod (R837), with poly(lactic-co-glycolic) acid (PLGA) NPs (PLGA-ICG-R837NPs) through oil-in-water emulsion (Figure 10b).¹²⁸ Notably, PLGA-ICG-R837 NPs could induce a higher percentage of matured dendritic cells in inguinal lymph nodes, which indicated PLGA-ICG-R837 NPs had a better immune stimulation effect. The metastatic tumor model had been treated with this combined therapy, which was constructed by injecting luciferase expressed 4T1 cells (fLuc-4T1) into mice before treatment of primary tumors. Therefore, the photothermal tumor ablation based on multifunctional PTAs offered vaccine-like functions *in situ*, which could prevent tumor relapse due to immune-memory protection.

3.4.3. Combining PTT with PDT. Owing to their individual unique advantages including noninvasiveness and low toxicity, numerous efforts have been focused on fabrication of an entire therapeutic platform containing PTT and PDT to yield better therapy outcomes over either PTT or PDT alone. Furthermore, the PDT can be amplified through a synergistic therapeutic effect on the hypoxic tumors. However, a

combination of two different therapies into an integrated platform is often challenged by complicated preparation methods. To break this obstacle, Nie et al. developed a multifunctional platform based on the gold vesicles (GVs) that incorporated the photosensitizer (Ce6) for effective trimodality-guided (fluorescence/photoacoustic/thermal imaging) cooperative PDT/PTT (Figure 10c).¹²⁹ GV had strong absorbance located in the NIR region, which would get benefit from the plasmonic coupling between neighboring GNPs that composed the assembled monolayer on the vesicular membranes. The encapsulated Ce6 molecules could be released due to the dissociation of the Ce6-loaded GV (GV-Ce6) caused by heating effect upon laser irradiation. Upon irradiation with 671 nm laser, GV and Ce6 would be simultaneously excited to produce heat and ROS, respectively. Thus, the tumor tissues could be selectively eliminated under the guidance of NIR fluorescence/thermal/photoacoustic signals from GV-Ce6, indicating the successful combination of PTT/PDT to the synergistic therapy outcomes (Figure 10d).

4. IMAGING-GUIDED PHOTOTHERANOSTIC PLATFORMS BASED ON SELF-ASSEMBLED NANOMATERIALS

Visualization of the transportation and distribution of phototherapeutic media will help to comprehensively evaluate the PDT/PTT outcome toward tumor tissues. Therefore, the development of imaging-guided phototherapeutic platforms is highly demanded.¹³⁰ Research progresses have been reported in developing fluorescent or luminescent probes for imaging-guided phototherapy; however, many unsolved problems including the limitation in penetration depth and the weak fluorescence signals induced by the low quantum yields of NIR fluorescent NPs greatly limit the application in guidance of phototherapy using these techniques. Recently, PAI which is based on the photoacoustic effect has been shown to be an

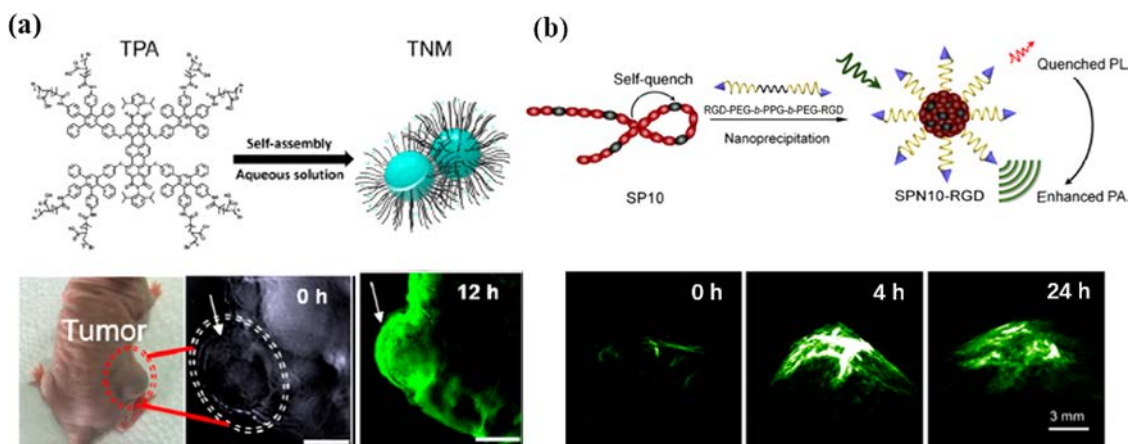


Figure 11. (a) Self-assembly mechanism of the TNMs and 3D PAI of tumor tissue in mouse model at the highest signal intensity time point (12 h). Reproduced with permission from ref 141. Copyright 2017 American Chemical Society. (b) Synthesis of the targeted SPN (SPN10-RGD) and PAI of tumor after the administration of SPN10-RGD for 0, 4, and 24 h. Reproduced with permission from ref 142. Copyright 2017 Elsevier B.V.

emerging biomedical imaging technique because of its combined characteristics of both optical and ultrasonic imaging, and the potential in guiding phototherapy.¹³¹ MRI is of great importance in molecular imaging and tumor diagnosis as it can offer detailed information on tumors by 3D tomography in a real-time manner.¹³² In some other cases, multi-imaging techniques have been employed for diagnostics and therapy monitoring of tumor after combination with PDT/PTT. In this section, we will make an effort to summarize the most advanced studies in applying imaging techniques such as PAI, MRI, and multimodal imaging to guide phototheranostic platforms based on self-assembled nanomaterials in achieving better treatment of cancer.

4.1. PAI-Guided PTT. The photothermal effect used for PTT can simultaneously generate acoustic waves which are detectable as imaging signals after reconstruction by ultrasonic transducer. This technique is named as PAI.¹³³ The photoacoustic effect mainly relies on the acoustic wave generated upon optical excitation on biomolecules. The generated acoustic waves can be detected even at the deep biological specimens with minimal signal loss. Integrating optical and acoustic capabilities, PAI provides more advantages than conventional optical imaging techniques in the deep imaging depth which breaks through the limit of optical detection. Normally, nanomaterial-based exogenous contrast agents are used in PAI to achieve high NIR absorption and excellent photostability and biocompatibility. In a general view, these nanomaterials share the photophysical properties with those applied in PTT, thus facilitating a synergetic PTT effect in cancer treatment.^{134,135}

Briefly, two types of nanomaterial-based contrast agents that can enhance the PAI signal have been reported: passive and active targeting NPs. In the former case, NPs can passively accumulate at the tumor and facilitate the visualization of deep-located tumor and inner vasculatures.¹³⁶ In the latter case, contrast agents modified with targeted components (ligands, antibodies, etc.) can enable the specific localization of tumor cells with a higher signal-to-noise ratio.¹³⁷ Noble metallic nanostructures such as gold and silver have been shown to be multifunctional nanocarriers because of their applications in PTT, imaging contrast agent, and drug transport.^{138,139} For instance, plasmonic Au nanorods (AuNRs) possess tunable and intense NIR absorption and

passive targeting characteristics, which are favorable for PAI and the simultaneous PTT of tumor.¹⁴⁰ In another example, Zhang et al. have demonstrated a self-assembled terrylenediamide-poly(acrylic acid)-based nanomedicine (TNM) system which possessed high photothermal conversion efficiency and was utilized to be an innate theranostic agent for PAI-guided PTT of cancer (Figure 11a).¹⁴¹ Taking advantage of their deep penetration and long residence time *in vivo* induced by the passive accumulation, TNM nanocomposites were applied to the multispectral optoacoustic tomography (MSOT) imaging on tumor-bearing mouse. The 3D imaging results illustrated the optimal time for visualizing tumor and initiating the PTT process when the PA signal intensity reached the highest value. After treating with an NIR laser, the TNMs NPs succeeded in eradicating tumor, which proved the PAI-guided PTT effect of the self-assembled TNMs. Alternatively, semiconducting polymer nanoparticles (SPNs) with an electron-deficient unit were synthesized, which possessed self-quenched fluorescence and enhanced heat generation and amplified PA signal. With a targeting structure of cyclic-RGD, the SPN10-RGD exhibited active targeting characteristics to tumor cells (Figure 11b). Upon a 680 nm excitation, SPN10-RGD treated tumor-bearing mice offered higher PA intensity than SPN-treated control group, which proved the advantage of targeting contrast agent and the potential of SPN10-RGD in use as functional nanomaterials for PAI-guided PTT of tumor.¹⁴²

Thus, owing to its advantages in precise tumor location, PAI technique has been widely examined in cancer diagnostics. With the assistance of self-assembled nanomaterials, the accurate monitoring of nanomedicine distribution and therapeutic efficacy could also be visualized *in vivo*, proving the advantages of applying PAI-guided PTT treatment of cancer.

4.2. MRI-Guided Phototherapy. MRI is regarded as a sophisticated biomolecular imaging technology that has been most universally employed in clinical diagnosis due to the deep penetrating capability, noninvasive characteristics, contrast versatility, and high spatial and temporal resolution.^{143,144} By utilizing contrast agents, MRI provides valuable and accurate information on *in vivo* imaging. Generally, two types of CAs are widely used in clinical: one is T_2 -weighted contrast agents (for instance superparamagnetic iron oxide (Fe_3O_4) NPs) which can change the longitudinal relaxivity (r_2) and produce dark

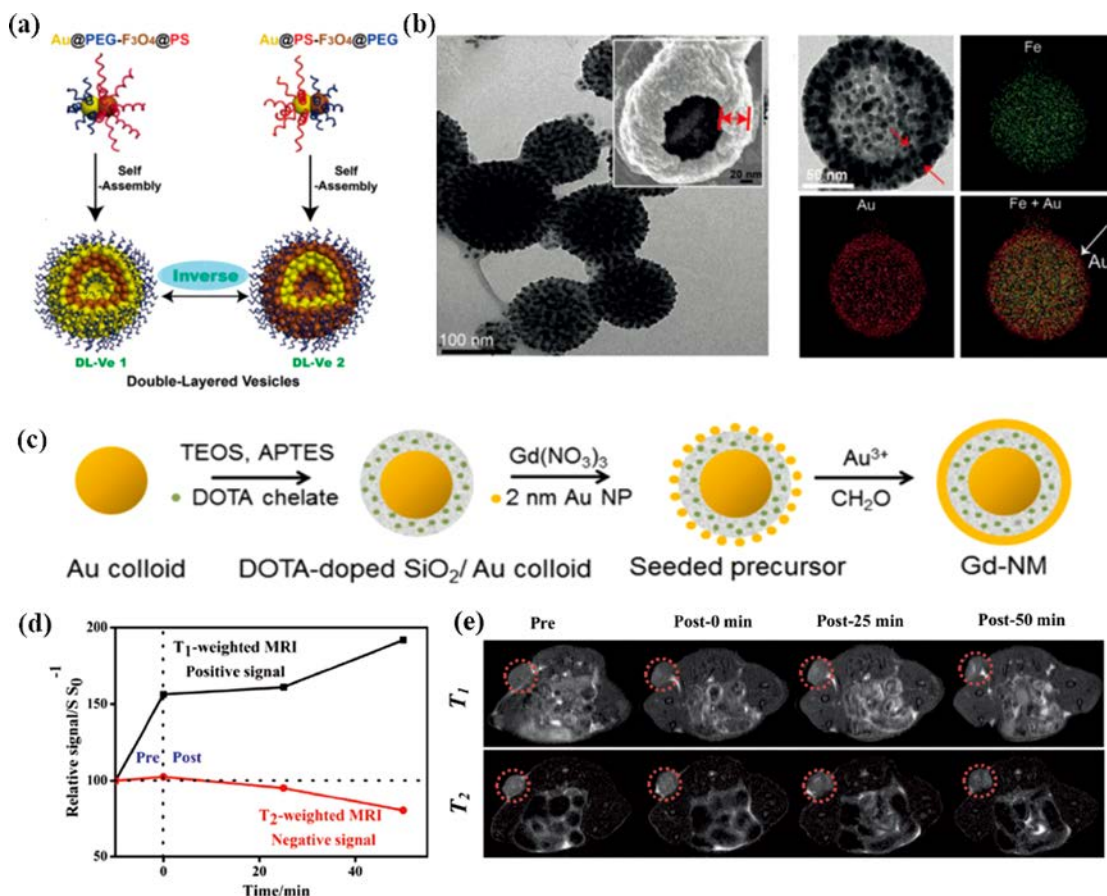


Figure 12. (a) Scheme of the formation of the self-assembled three kinds of Janus amphiphilic NPs into double-layered plasmonic–magnetic vesicle 1. (b) TEM, SEM images, and TEM-element mapping images of the double-layered vesicle 1. (a and b) Reproduced with permission from ref 149. Copyright 2017 John Wiley and Sons. (c) Schematic representation of the MRI-active NM synthesis showing the stepwise synthesis process: the 50 nm-diameter gold colloids are coated with SCN-DOTA chelates embedded in a SiO₂ shell, and then incubated in Gd(NO₃)₃ and 2 nm Au NP, resulting in growing of a continuous Au shell. Reproduced with permission from ref 145. Copyright 2017 The American Association for the Advancement of Science. (d) Intensity changes of T₁-weighted and T₂-weighted MRI signal of FeS₂–PEG at various time points. (e) Corresponding MR images of mice, verifying the TME-responsive self-enhanced MRI behavior *in vivo* (the red dotted circles). (d and e) Reproduced with permission from ref 154. Copyright 2017 John Wiley and Sons.

images; the other is T₁-weighted contrast agents (such as paramagnetic Gd(III) and Mn(II)) which can vary the transverse relaxivity (r_1) and result in bright images. Relaxivity provides information on the changes in relaxation rates after introducing contrast agents.^{145,146}

The traditional T₂ weighted contrast agents are mainly based on Fe₃O₄,¹⁴⁷ which have limited potential applications due to their disadvantages including the reduction of MRI signal, generation of unwanted magnetic field perturbations, and low spatial resolution in tumor imaging.¹⁴⁸ To induce stronger contrast and collect better signal, researches have focused on developing assembly of hybrid vesicles or multifunctional nanomaterials which can be utilized as advanced T₂ weighted contrast agents. For example, two-layer plasmonic-magnetic vesicles were reported. They are self-assembled by Janus amphiphilic Au–Fe₃O₄ NPs with polymer brushes (Figure 12a, b).¹⁴⁹ Novel nanocomposite was prepared by assembling Fe₃O₄ and Au NPs on black phosphorus sheets.¹⁵⁰ These hybrid nanovesicles/nanoassembly showed great enhancement in the MRI signal (increased r_2 values from 54.5 to 405 mM⁻¹ S¹⁻) from Fe₃O₄ NPs and plasmonic photothermal effect from Au NPs, and thus could potentially be used in MRI-guided PTT.^{149,150}

Compared to T₂ contrast agents, T₁ contrast agents have attracted wide interest as a result of their advantage in brighter images; thus, they can enhance the specificity and sensitivity of MR imaging.¹⁴⁴ However, the most effective and widely used T₁ contrast agents, Gd(III)-chelates, still suffer from their initiate disadvantages in low specificity and toxicity of free Gd(III) ions in the body.¹⁵¹ Therefore, increasing research efforts have been put forth on developing nanomaterials based on Gd(III) to enhance their relaxivity, reduce their toxicity, and obtain high sensitivity and prolonged circulation time.¹⁵² Marangoni et al. developed a MRI-enhancing NP which encapsulated Gd(III) ions in a multilayered geometry (Figure 12c). By concentrating Gd(III) within the NP, the structure greatly enhanced the relaxivity (~8 fold) compared to conventional chelating agents (Gd-DOTA) at high magnetic fields (4.7T). The multifunctional NP retains its strong NIR optical absorption properties and has potential to be used for MRI-guided PTT.¹⁴⁵ To reduce the nephrotoxic effect of current Gd-based T₁-weighted contrast agents, Shen and colleagues developed new core–shell NPs (FeGd–HN₃–RGD₂) with very high r_1 value (70.0 mM⁻¹ s⁻¹) and low r_2/r_1 ratio (1.98) for high-contrast MR imaging of tumors.¹⁵³

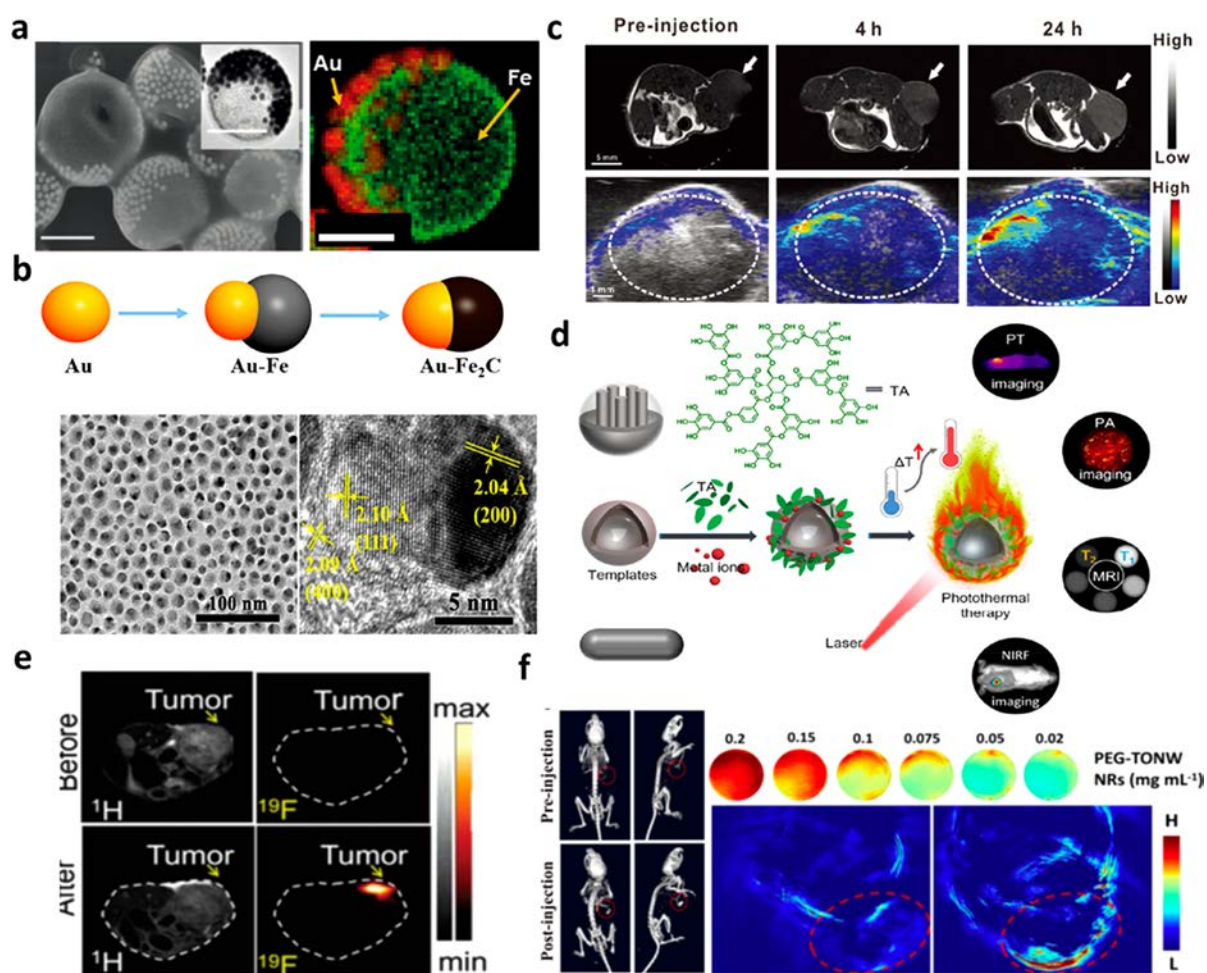


Figure 13. (a) Scanning electron microscopy and transmission electron microscopy (inset) images of magneto-plasmonic JVs with spherical shapes. Reproduced with permission from ref 158. Copyright 2016 John Wiley and Sons. (b) Schematic depiction of the synthetic process of Au–Fe₂C Janus NPs; TEM and HRTEM images of Au–Fe₂C Janus NPs. Reproduced with permission from ref 159. Copyright 2017 American Chemical Society. (c) *In vivo* multimodal imaging of MR images and PA images of U87MG-tumor-bearing mice before and after intravenous injecting PGM NPs. Reproduced with permission from ref 160. Copyright 2017 John Wiley and Sons. (d) Schematic diagram of the combination of MTA and various substrates for a variety of applications: PAI, PTI, T₁, and T₂ weighted MRI, and near-infrared fluorescence (NIRF) imaging as well as PTT. Reproduced with permission from ref 161. Copyright 2018 American Chemical Society. (e) *In vivo* ¹H- and ¹⁹F-MRI of the tumor-bearing mice pre- and postinjecting of NCs. Reproduced with permission from ref 162. Copyright 2018 American Chemical Society. (f) Left: CT images of the mouse model pre- and postintravenous injection of PEG-TeO₂/(NH₄)_xWO₃ nanoribbons (PEG-TONW NRs); right: PAI of PEG-TONW NRs buffer solution and *in vivo* PA imaging of a tumor-bearing mouse before (up) and after (down) intravenous injection of PEG-TONW NRs. Reproduced with permission from ref 164. Copyright 2019 American Chemical Society.

Besides, self-enhanced MRI contrasting agents that can selectively improve the contrast from targeting tumor area using various nanomaterials have become an emerging research interest. Tang et al. applied antiferromagnetic pyrite nanocubes as contrast agents. Unlike the traditional constant MRI, these nanocubes showed obvious signal changes for T₁ and T₂-MR imaging in the *in vivo* results (Figure 12d, e). Based on a tumor microenvironment-response strategy, the new nanoplatform showed self-enhanced MRI and synergistic PTT.¹⁵⁴

4.3. Multimodal Imaging-Guided Phototherapy. Apart from PAI and MRI, other imaging tools, e.g. CT and ultrasound imaging, were reported to be applied to assist phototherapy. Different imaging techniques possess individual pros and cons. For instance, MRI and CT have the merits of deep penetration depth in imaging but can only be used to detect tumors less than 0.5 cm; luminescence/fluorescence exhibits higher sensitivity while they have limited penetration depth due to the weak fluorescence signals induced by the low

quantum yields of NIR fluorescent NPs.^{133,155} To achieve better treatment outcome by imaging-guided PTT, the combination of various imaging tools into one PTA system has attracted wide interest since they can offer more detailed information regarding the tumors in clinical use. As a result of the deep tissue penetrating characteristics of MRI and CT, most reported multimodal imaging techniques integrated other imaging modalities such as PAI, photothermal imaging (PTI) to these two techniques, together with newly produced self-assembled nanomaterials, to provide more detailed tumoral information, achieve more accurate diagnosis, and result in better therapy outcome for PTT.^{156,157} For instance, magneto-plasmonic Janus vesicles were prepared by coassembling gold NPs (AuNPs), magnetic NPs (MNPs), and amphiphilic block copolymers (BCPs). Hemispherical Janus vehicles bearing 50 nm AuNPs and 15 nm MNPs exhibited an intense NIR absorption and enhanced the transverse relaxation (T₂) contrast, and thus were successfully employed as imaging

agents for *in vivo* PAI/MRI-guided phototherapy (Figure 13a).¹⁵⁸ Magneto-optical monodisperse Au–Fe₂C Janus NPs were recently reported to show enhanced MRI signal than commercial T₂ contrast agent and significant PTT effect owing to their broad absorption in NIR window, and thus had successfully been applied to the triple-modal (MRI/MSOT/CT) imaging-guided PTT in mice models (Figure 13b).¹⁵⁹

For MRI-active multimodal imaging, Cd(III)-based T₁ contrast agents which have capabilities of achieving other imaging techniques have been largely studied. For example, Wang et al. prepared a core–satellite nanotheranostic agent for multimodal imaging (MR/PA/PET)-guided combined cancer therapy (Figure 13c). The imaging agent was based on polydopamine (PDA)-gadolinium–metallofullerene which had radionuclide-⁶⁴Cu labeling and carried Dox. The nanocomposite showed high relaxivity ($r_1 = 14.06 \text{ mM}^{-1} \text{ s}^{-1}$), good biocompatibility, effective tumor accumulation, and eliminated tumor outcome upon NIR-triggered drug release and PTT.¹⁶⁰ Interestingly, other ions also showed their capability in forming novel nanocomposites for MR and other imaging assisted PTT. Liu et al. synthesized a serial of metal ion/tannic acid (TA) assemblies (MITAs) based on Fe(III), V(III), and Ru(III) ions due to their high photothermal efficiency (40%). Typically, a polymeric nanovesicle supported Fe(III)-TA (PNV@Fe^{III}TA) nanoassembly was prepared and showed a high T₂ MR signal. By doping Mn^{II} into the nanocomposites, PNV@Fe^{III}Mn^{II}TA exhibited enhanced T₁ signal and was employed as a T₁, T₂-weighted dual-modal MRI contrast agent in tumor. By additionally encapsulating a hydrophilic fluoroprobe, e.g. Cy5.5, into the nanoassembly, the combined *in vivo* fluorescence imaging could be accomplished. Thus, MITAs offers a flexible PTT platform to be assembled with various templates to form nanostructures and used for multimodal imaging-guided PTT after cooperated with other diagnosis techniques (Figure 13d).¹⁶¹

The main drawback of the conventionally used 1H MRI lies in the interference from the high background signal from water *in vivo*. Thus, fluorine-19 (¹⁹F) MRI which shows high signal-to-noise ratio but low background is applied in research and clinical use. Cui et al. developed a multifunctional nanocomposite by assembling small Au NPs on a larger Cu₇S₄ domain to form heterodimer. By grafting ¹⁹F-moieties onto the heterodimer, the Cu₇S₄–Au@PSI–¹⁹F/PEG nanocomposites were obtained and successfully applied to the simultaneous CT-¹⁹F-MRI guided PTT (Figure 13e).¹⁶²

All the self-assembled nanomaterials in above-mentioned studies exhibited absorption in the NIR-I region. Recently, the NIR-II window has attracted much attention because the laser in this region can provide deeper tissue penetrating capability as a result of the low photon scattering and decreased background signal from tissue.¹⁶³ The challenge in applying PTT treatment in the NIR-II range lies in the insufficient photothermal conversion efficiency. To address this issue, Cheng et al. reported a NIR-II PTA utilizing Te and paratungstate. The assembled (TeO₂/(NH₄)_xWO₃) nanoribbons (TONW NRs) showed enhanced NIR-II absorption and higher photothermal conversion efficiency (43.6%) than reported PTAs. Due to their multifunction and multimodal imaging capability, these nanocomposites were successfully employed in triple-modal (CT/PA/PT) imaging-guided PTT (Figure 13f).¹⁶⁴

With the development of various biomedical imaging techniques, complementary information could be obtained by integrating different imaging modalities. Thus, multimodal-imaging guided phototherapy has been regarded as a prospective technique to be used in cancer treatment to realize improved diagnosis and better therapy.

5. CONCLUSIONS AND FUTURE PERSPECTIVES

Recent advances in designing supramolecular phototherapeutic systems, including nanostructured PS- or PTA-based self-assemblies and PS- or PTA-based coassemblies with other functional materials, have opened a potential avenue in the anticancer field by providing diverse approaches to overcome current problems of the PDT/PTT paradigms. In this review, focusing on the designability through self-assembly strategies and nanotechnology, we presented the most up-to-date reports of supramolecular phototherapeutic nanomaterials ranging from their design and assembly to their utilization in dealing with cancer. Up to now, the self-assembly of functional candidates has been extensively studied and the fabricated supramolecular nanoarchitectures have been demonstrated to be promising in promoting the efficacy of phototherapies. Even so, there still exists plenty of space for precisely manipulating the nanoassemblies to achieve better PDT and PTT with high-efficiency. Moreover, the current lack of clinical investigations implies that most of the available PDT/PTT systems designed for this purpose are still in the initial stage of development.

Giving a perspective of the whole field, in our view, three future directions of self-assembled functional nanomaterials should be attended. (i) Construction of *in vivo* self-assembled nanomaterials for antitumor phototherapy. This is an ascendant trend for *in vivo* cancer treatment as the easily penetrated small molecular motif can be assembled upon light stimulus, which would remarkably improve the tumor accumulation and biosafety for realizing real-time and *in situ* treatment. However, the adaptive formation of functional superstructures in sophisticated, condensed cellular media is still a big challenge. Therefore, the future development direction is trying to investigate detailed *in vivo* performance of the nanoassemblies for the potential realization of clinic translation. Studies should be carried out to deepen understanding of the toxicology of nanoparticles, particularly with respect to morphology, size, composition, and formulation of the assemblies and, furthermore, developing informative *in vivo* systems especially those incorporating minimally invasive imaging technologies to study how nanoparticles distribute and their effects on animals. (ii) Deep investigations into kinetic processes of self-assembly. The exploration of the self-assembly processes including conformational adjustments, intramolecular interactions, and kinetic trapping, which are meaningful to provide insights for evaluating the self-assembly events, is still limited. Better understanding of the process of constructing assembled nanomaterials will be of great benefit to achieve better regulation to the nanoassemblies and further realize clinical applications of tumor therapy. (iii) Further functionalization of the existing self-assemblies. More efforts should be dedicated to the enhancement of the original functions of the building blocks or the introduction of novel structure-related functions. In general, it is intensively accepted that phototherapies using self-assembled nanomaterials will exert increasing critical roles in cancer treatment.

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Notes

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